

## PS ALGEBRA, AUSARBEITUNG AUFGABE 48

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### Aufgabe 48:

- (a) Präzisiere die Aussage, dass die Vervollständigung einen exakten Funktor für endlich erzeugte  $R$ -Moduln über einem noetherschen Ring definiert.
- (b) Es seien  $\mathfrak{p} \subseteq \mathfrak{q} \subseteq R$  Primideale. Vergleiche die Lokalisierungen  $R_{\mathfrak{p}}$ ,  $R_{\mathfrak{q}}$ ,  $(R_{\mathfrak{p}})_{\mathfrak{q}R_{\mathfrak{p}}}$  und  $(R_{\mathfrak{q}})_{\mathfrak{p}R_{\mathfrak{q}}}$ .
- (c) Es sei  $\mathfrak{p} \subseteq R = K[x_1, \dots, x_n]$  ein Primideal und  $X = V(\mathfrak{p}) \subseteq K^n$  die assoziierte Verschwindungsmenge. Interpretiere die Elemente der Lokalisierung  $R_{\mathfrak{p}}$  als Keime von Funktionen auf  $K^n$  längs  $X$ , wobei  $K^n$  mit der Zariski-Topologie versehen sei.

**Hinweis:** Betrachte zuerst den Fall eines maximalen Ideals  $\mathfrak{p} = (x_1 - a_1, \dots, x_n - a_n)$ . Präzisiere dann den Begriff "Keim einer Funktion längs  $X$ " für beliebige  $X$ .

**a)** Let  $R$  be a noetherian ring, and  $I$  be an ideal of  $R$ . Then the completion of  $R$  with respect to the  $I$ -adic topology is the product  $\hat{R} = \prod_{k=0}^{\infty} I^k / I^{k+1}$ . More generally, given a finitely generated  $R$ -module  $M$  we define the completion of  $M$  in the  $I$ -adic topology to be the  $\hat{R}$ -module  $\hat{M}$  given by the product  $\prod_{k=0}^{\infty} I^k M / I^{k+1} M$ . In particular given an ideal  $J$  we have defined  $\hat{R}$ -modules  $\hat{J}$  and  $\widehat{R/J}$ . To say that completing with respect to  $I$  is exact means that  $\widehat{R/J}$  is isomorphic to  $\hat{R}/\hat{J}$ . Equivalently, this means that if a sequence of finitely generated  $R$ -module

$$0 \rightarrow M \rightarrow N \rightarrow P \rightarrow 0$$

is exact (see exercise 42), then the sequence

$$0 \rightarrow \hat{M} \rightarrow \hat{N} \rightarrow \hat{P} \rightarrow 0$$

is exact.

**b)** Assume  $\mathfrak{p} \subsetneq \mathfrak{q}$ , then  $R \setminus \mathfrak{q} \subsetneq R \setminus \mathfrak{p}$ . Hence the ideal generated by  $\mathfrak{q}$  in  $R_{\mathfrak{p}}$  is whole  $R_{\mathfrak{p}}$ , because some element of  $\mathfrak{q}$  is invertible in  $R_{\mathfrak{p}}$ . So  $(R_{\mathfrak{p}})_{\mathfrak{q}R_{\mathfrak{p}}}$  does not make sense, since  $\mathfrak{q}R_{\mathfrak{p}}$  is not prime. So we consider only the rings  $R_{\mathfrak{p}}$ ,  $R_{\mathfrak{q}}$ , and  $(R_{\mathfrak{q}})_{\mathfrak{p}R_{\mathfrak{q}}}$ . We will prove that  $(R_{\mathfrak{q}})_{\mathfrak{p}R_{\mathfrak{q}}}$  and  $R_{\mathfrak{p}}$  are isomorphic.

Writing all the natural maps we get a solid diagram

$$\begin{array}{ccc} R & \longrightarrow & R_{\mathfrak{p}} \\ \downarrow & & \downarrow \text{dotted} \\ R_{\mathfrak{q}} & \longrightarrow & (R_{\mathfrak{q}})_{\mathfrak{p}R_{\mathfrak{q}}} \end{array}$$

and our goal is to prove that there exists a ring homomorphism as in the dotted arrow above (and then prove it is an isomorphism). If  $x$  is in  $R \setminus \mathfrak{p}$ , then either it is also in  $R \setminus \mathfrak{q}$ , and then the image of  $x$  in  $R_{\mathfrak{q}}$  is invertible, or it is in  $\mathfrak{q} \setminus \mathfrak{p}$ . In the latter case the image of  $x$  in  $R_{\mathfrak{q}}$  is then in  $R_{\mathfrak{q}} \setminus \mathfrak{p}R_{\mathfrak{q}}$ . In fact, if the image of  $x$  was in  $\mathfrak{p}R_{\mathfrak{q}}$ , we would be able to write  $x = p(r/s)$  for some  $p \in \mathfrak{p}$ ,  $r \in R$ , and  $s \in R \setminus \mathfrak{q}$ . Then there would be a  $t \in R \setminus \mathfrak{q}$  such that  $t(xs - pr) = 0$ . Since  $p$  is in  $\mathfrak{p}$  also  $p r t$  is in  $\mathfrak{p}$ , then also  $t x s$  should be in  $\mathfrak{p}$ . But this is not possible, since we supposed

that  $t, x$ , and  $s$  are not in  $\mathfrak{p}$ , and this is a prime ideal. So the image of  $x$  in  $R_{\mathfrak{q}}$  is in  $R_{\mathfrak{q}} \setminus \mathfrak{p}R_{\mathfrak{q}}$ , and hence  $x$  becomes invertible in  $(R_{\mathfrak{q}})_{\mathfrak{p}R_{\mathfrak{q}}}$ . So by the universal property of the localization  $R_{\mathfrak{p}}$  we get the dotted arrow above.

To construct the inverse one can proceed in a similar way: the natural map  $R \rightarrow R_{\mathfrak{p}}$  factors through  $R_{\mathfrak{q}}$ , since every element of  $R \setminus \mathfrak{q}$  is invertible in  $R_{\mathfrak{p}}$ . We obtain a map  $R_{\mathfrak{q}} \rightarrow R_{\mathfrak{p}}$ , and by a similar reasoning this map factors through  $(R_{\mathfrak{q}})_{\mathfrak{p}R_{\mathfrak{q}}}$ . This is an inverse to the previously defined map, so we are done.

c) First we define the ring of germs of rational functions defined along  $X$ . Let  $T$  be the ring of rational functions defined along  $X$ , namely the ring

$$T = \{f: U \rightarrow \mathbb{R}, U \subseteq K^n \text{ open with } X \subseteq U, f = \frac{f_1}{f_2} \text{ with } f_1, f_2 \in K[x_1, \dots, x_n]$$

and for all  $x \in U$  we have  $f_2(x) \neq 0\}$ .

The ring  $S$  of germs of rational functions defined along  $X$  is the quotient of  $T$  by the equivalence relation  $\sim$ , where  $f \sim g$  if and only if there exists  $U \subseteq K^n$  Zariski open set, such that  $X \subseteq U$  and for all  $x \in U$  we have  $f(x) = g(x)$  (equivalently: the quotient by the ideal  $[0]_{\sim}$  of functions that are equivalent to the constant function 0 under the equivalence relation  $\sim$ ). Since any polynomial in  $R$  is a rational function we have a natural ring homomorphism  $R \rightarrow S$ . If  $h \in R$  is such that  $h(x)$  is not zero for all  $x$  in  $X$ , then  $h$  has an inverse in  $S$ . So the inclusion factors through  $R_{\mathfrak{p}}$ , and we get a ring homomorphism  $R_{\mathfrak{p}} \rightarrow S$ .

On the other hand, we can define a ring homomorphism  $\varphi: T \rightarrow R_{\mathfrak{p}}$  by sending the quotient of two polynomials  $f_1/f_2$  to the fraction  $f_1/f_2$  in  $R_{\mathfrak{p}}$ . (This can be done since  $f_2$  is not in  $\mathfrak{p}$ . In fact  $f_2(x)$  is different from zero for all  $x \in X$ , and  $X$  is by definition  $V(\mathfrak{p})$ .) If  $f = f_1/f_2$  and  $g = g_1/g_2$  are rational functions with the same image in  $R_{\mathfrak{p}}$  under  $\varphi$ , then there exists  $h$  not in  $\mathfrak{p}$  such that  $h(f_1g_2 - g_1f_2) = 0$  in  $R$ . Since  $h, g_2$ , and  $f_2$  are not in  $\mathfrak{p}$ , given  $x \in X = V(\mathfrak{p})$ , we have that  $h(x), g_2(x)$ , and  $f_2(x)$  are not zero. So

$$h(x)(f_1(x)g_2(x) - g_1(x)f_2(x)) = 0$$

implies that

$$f_1(x)g_2(x) = g_1(x)f_2(x)$$

and that

$$f_1(x)(f_2(x))^{-1} = g_1(x)(g_2(x))^{-1}$$

so that  $f(x) = g(x)$  for all  $x$  in  $X$ . Moreover the relation above holds for all  $x$  such that  $h(x), g_2(x)$ , and  $f_2(x)$  are not zero. This is a Zariski open set containing  $X$ . Hence, we proved that if  $f$  and  $g$  have the same image under  $\varphi$ , then  $f \sim g$ . It is easy to see that also the converse holds, so that  $f \sim g$  if and only if  $\varphi(f) = \varphi(g)$  (equivalently: the kernel of  $\varphi$  is equal to the ideal  $[0]_{\sim}$ ). So  $\varphi$  induces a ring homomorphism  $S \rightarrow R_{\mathfrak{p}}$ . The two maps that we have defined are inverse to each other, so we are done.

As an example, consider the prime ideal  $(x)$  in  $R = \mathbb{R}[x, y]$ . Elements of  $R_{(x)}$  are fractions  $f(x, y) = p(x, y)/q(x, y)$  of polynomials such that  $q(0, y)$  is not zero. So they can be seen as rational functions defined on the line  $x = 0$ . If two rational functions  $f(x, y) = p(x, y)/q(x, y)$  and  $f'(x, y) = p'(x, y)/q'(x, y)$  are equal in  $R_{(x)}$ , then  $p(x, y)q'(x, y) = q(x, y)p'(x, y)$ , so on the points of the plane where both  $q(x, y)$  and  $q'(x, y)$  are defined (which is by definition a Zariski open set, as it is the complement of the Zariski closed set given by the union of the zero-sets of  $q(x, y)$  and of  $q'(x, y)$ ), we have  $f(x, y) = f'(x, y)$ . In this sense the elements of  $R_{(x)}$  can be seen as germs.